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To: Cabinet – 2nd December 2013

Subject: Corporate Risk Register - Refresh

Classification: Unrestricted

Summary

This paper presents the latest version of the Corporate Risk Register for the Authority.

Cabinet Members are asked to NOTE the report

1. Corporate Risk Register

The latest version of the Corporate Risk Register is attached at appendix 1. It has been refreshed to reflect key themes arising from meetings with individual Corporate Management Team, Cabinet Members and Directorate Management Teams during the autumn.

The main changes are:

- Data and Information Management – current risk level reduced to reflect the significant work undertaken to address this risk via the Senior Information Risk Officer (SIRO) action plan. The aim is now to contain this risk at the current level.
- Safeguarding – current level of risk reduced from red to amber due to progress made against children’s improvement plan and acknowledged by Ofsted inspections, although constant vigilance is required.
- Future operating environment for local government – this risk reflects the significant challenges to be faced by the County Council in the coming years and the scale and complexity of change required to respond to challenges faced by the authority. The aim is to reduce the level of risk over the coming months as the *Facing the Challenge* transformation programme moves forward.
- Governance & Internal Control – it is proposed that this risk be reinstated on the corporate register to reflect its particular importance throughout a period of significant change.
- Integration Transformation Fund – the previous ‘health reform act’ risk centred on the transition of Public Health duties to KCC. This risk is now being remodelled to cover risks to achieving required outcomes from health & social care integration.

- Delivery of savings 2014/15 – a specific date has been applied to this risk to reflect feedback from CMT and Cabinet Members that we must ‘keep our eye on the ball’ to deliver savings during this period.
- Ash Dieback disease – this risk is being closely monitored by the local strategic coordinating group led by the KCC Emergency Planning and Environment functions. The risk is listed in the Enterprise and Environment directorate register and divisional risk registers in both the Customer & Communities and Enterprise & Environment directorates, and is now being removed from the corporate register.
- Economic Growth Infrastructure – this risk is being narrowed to concentrate on the risk of Community Infrastructure Levy payments, section 106 contributions and other key growth levers not covering the cost of infrastructure needed to support growth rather than the previously broader risk relating to the economic climate.

It is also proposed to split the management of social care demand risk into adult and children’s perspectives due to the different drivers behind the risks and therefore potentially different mitigations required.

There are four areas of risk currently rated as ‘high’. These are:

- Future operating environment of local government;
- Management of social care demand;
- Delivery of 2013/14 and 2014/15 savings;
- Procurement

Further details of these risks, including controls and mitigating actions, are contained in appendix 1.

2. Monitoring & Review

While the Corporate Risk Register is formally refreshed annually, it is a ‘living’ document and is reviewed and updated regularly by Corporate Management Team and Cabinet Members in-year to reflect any significant new risks or changes in risk exposure. The corporate risks led by each Corporate Director are to be presented to the relevant Cabinet Committees, alongside existing arrangements for presentation of directorate risk registers. The corporate register is also presented to Governance & Audit Committee twice yearly for assurance purposes.

There is a particular focus on ensuring that key mitigating actions are identified and progress monitored. The risks within the Corporate Risk Register, their current risk level and progress against mitigating actions are reported to Cabinet quarterly via the Quarterly Performance Report.

3. Recommendations

Cabinet is asked to:

- a) NOTE and COMMENT on the refreshed Corporate Risk Register.

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